

# Chapter 4 Beyond Classical Search

## 4.1 Local search algorithms and optimization problems

CS4811 - Artificial Intelligence

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# Outline

Hill climbing search

Simulated annealing

Local beam search

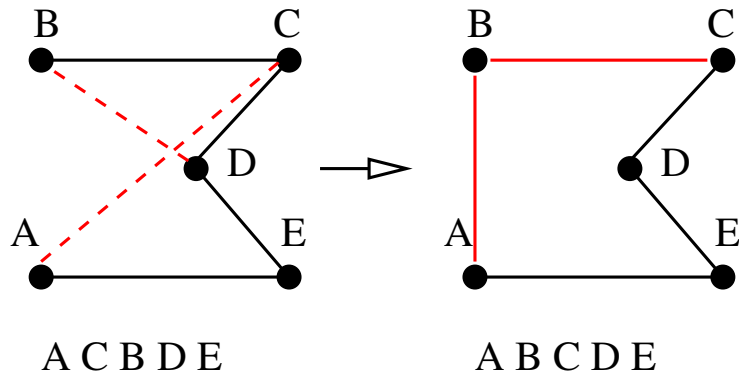
Genetic algorithms

# Iterative improvement algorithms

- ▶ In the problems we studied so far, the solution is the path. For example, the solution to the 8-puzzle is a series of movements for the “blank tile.” The solution to the traveling in Romania problem is a sequence of cities to get to Bucharest.
- ▶ In many optimization problems, the path is irrelevant. The goal itself is the solution.
- ▶ The state space is set up as a set of “complete” configurations, the optimal configuration is one of them.
- ▶ An *iterative improvement algorithm* keeps a single “current” state and tries to improve it.
- ▶ The space complexity is constant!

## Example: Travelling Salesperson Problem

Start with any complete tour, perform pairwise exchanges

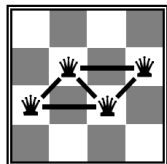


Variants of this approach get within 1% of optimal very quickly with thousands of cities.

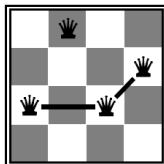
## Example: $n$ -queens

Put  $n$  queens on an  $n \times n$  board with no two queens on the same row, column, or diagonal.

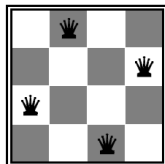
Move a queen to reduce the number of conflicts ( $h$ ).



$h = 5$



$h = 2$



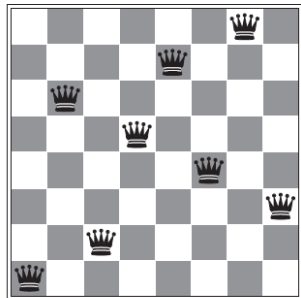
$h = 0$

Almost always solves  $n$ -queens problems almost instantaneously for very large  $n$ , e.g.,  $n = 1$  million.

## Example: $n$ -queens (cont'd)

18	12	14	13	13	12	14	14
14	16	13	15	12	14	12	16
14	12	18	13	15	12	14	14
15	14	14	♙	13	16	13	16
♙	14	17	15	♙	14	16	16
17	♙	16	18	15	♙	15	♙
18	14	♙	15	15	14	♙	16
14	14	13	17	12	14	12	18

(a)



(b)

(a) shows the value of  $h$  for each possible successor obtained by moving a queen within its column. The marked squares show the best moves.

(b) shows a local minimum: the state has  $h = 1$  but every successor has higher cost.

# Hill-climbing (or gradient ascent/descent)

**function** HILL-CLIMBING (*problem*)

**returns** a state that is a local maximum

**inputs:** *problem*, a problem

**local variables:**

*current*, a node

*neighbor*, a node

*current*  $\leftarrow$  MAKE-NODE(*problem*.INITIAL-STATE)

**loop do**

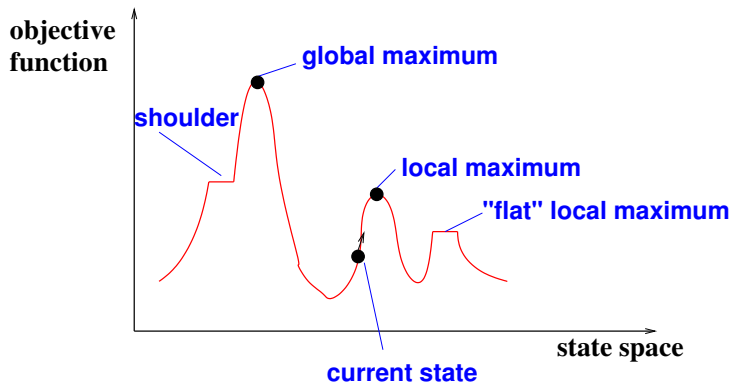
*neighbor*  $\leftarrow$  a highest-valued successor of *current*

**if** *neighbor*.VALUE  $\leq$  *current*.VALUE **then return** *current*.STATE

*current*  $\leftarrow$  *neighbor*

## Hill-climbing (cont'd)

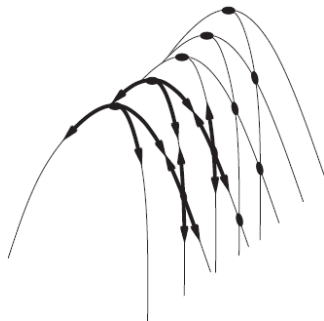
- ▶ “Like climbing Everest in thick fog with amnesia.”
- ▶ Problem: depending on initial state, can get stuck on local maxima
- ▶ In continuous spaces, problems with choosing step size, slow convergence





## Difficulties with ridges

The “ridge” creates a sequence of local maxima that are not directly connected to each other. From each local maximum, all the available actions point downhill.



# Hill-climbing techniques

- ▶ stochastic: choose randomly from uphill moves
- ▶ first-choice: generate successors randomly one-by-one until one better than the current state is found
- ▶ random-restart: restart with a randomly generated initial state

# Simulated annealing

**function** SIMULATED ANNEALING (*problem*, *schedule*)

**returns** a solution state

**inputs:**

*problem*, a problem

*schedule*, a mapping from time to “temperature”

**local variables:**

*current*, a node

*next*, a node

*current*  $\leftarrow$  MAKE-NODE(*problem*.INITIAL-STATE)

**for**  $t = 1$  **to**  $\infty$  **do**

$T \leftarrow$  *schedule*( $t$ )

**if**  $T=0$  **then return** *current*

*next*  $\leftarrow$  a randomly selected successor of *current*

$\Delta E \leftarrow$  *next*.VALUE - *current*.VALUE

**if**  $\Delta E > 0$  **then** *current*  $\leftarrow$  *next*

**else** *current*  $\leftarrow$  *next* only with probability  $e^{\Delta E/T}$

## Simulated annealing (cont'd)

- ▶ Idea: escape local maxima by allowing some “bad” moves but gradually decrease their size and frequency.
- ▶ Devised by Metropolis et al., 1953, for physical process modelling.
- ▶ At fixed “temperature”  $T$ , state occupation probability reaches Boltzmann distribution

$$p(x) = \alpha e^{\frac{E(x)}{kT}}$$

- ▶ When  $T$  is decreased slowly enough it always reaches the best state  $x^*$  because  $e^{\frac{E(x^*)}{kT}} / e^{\frac{E(x)}{kT}} = e^{\frac{E(x^*) - E(x)}{kT}} \gg 1$  for small  $T$ .  
(Is this necessarily an interesting guarantee?)
- ▶ Widely used in VLSI layout, airline scheduling, etc.

# Local beam search

- ▶ Idea: keep  $k$  states instead of 1; choose top  $k$  of all their successors
- ▶ Not the same as  $k$  searches run in parallel! Searches that find good states recruit other searches to join them.
- ▶ Problem: quite often, all  $k$  states end up on same local hill.
- ▶ To improve: choose  $k$  successors randomly, biased towards good ones.
- ▶ Observe the close analogy to natural selection!

# The genetic algorithm

**function** GENETIC ALGORITHM (*problem*, FITNESS-FN)  
**returns** an individual

**inputs:**

*population*, a set of individuals

FITNESS-FN, a function that measures the fitness of an individual

**repeat**

*new-population*  $\leftarrow$  empty set

**for**  $i = 1$  **to** SIZE(*population*) **do**

$x \leftarrow$  RANDOM-SELECTION(*population*, FITNESS-FN)

$y \leftarrow$  RANDOM-SELECTION(*population*, FITNESS-FN)

*child*  $\leftarrow$  REPRODUCE( $x, y$ )

**if** (small random probability) **then** *child*  $\leftarrow$  MUTATE(*child*)

add *child* to *new-population*

*population*  $\leftarrow$  *new-population*

**until** some individual is fit enough, or enough time has elapsed

**return** the best individual in *population*, according to FITNESS-FN

# The crossover function

**function** REPRODUCE ( $x,y$ )

**returns** an individual

**inputs:**

$x,y$  , parent individuals

$n \leftarrow \text{LENGTH}(x)$

$c \leftarrow$  random number from 1 to  $n$

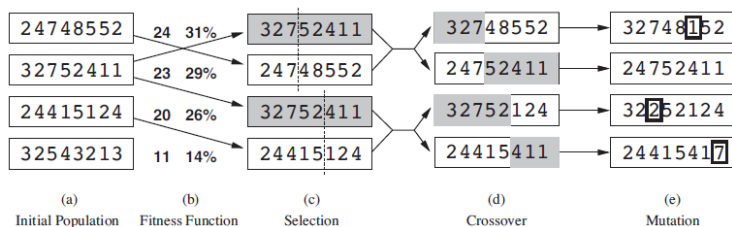
**return** APPEND(SUBSTRING( $x, 1, c$ ), SUBSTRING( $y, c + 1, n$ ))

# Genetic algorithms (GAs)

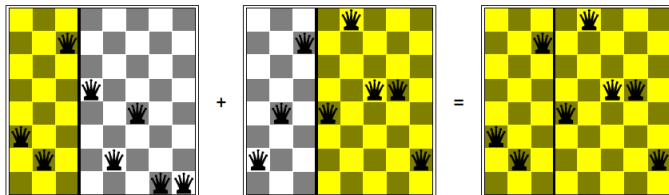
- ▶ Idea: stochastic local beam search + generate successors from pairs of states
- ▶ GAs require states encoded as strings.
- ▶ Crossover helps iff substrings are meaningful components.
- ▶ GAs  $\neq$  evolution.  
e.g., real genes encode replication machinery.



# Genetic algorithm example



# The genetic algorithm with the 8-queens problem



# Summary

- ▶ Hill climbing is a steady monotonous ascent to better nodes.
- ▶ Simulated annealing, local beam search, and genetic algorithms are “random” searches with a bias towards better nodes.
- ▶ All need very little space which is defined by the population size.
- ▶ None guarantees to find the globally optimal solution.

## Sources for the slides

- ▶ AIMA textbook (3<sup>rd</sup> edition)
- ▶ AIMA slides (<http://aima.cs.berkeley.edu/>)